05/28/2009

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virgima 22313-1450 www.usplo.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

34284 7590 Rutan & Tucker, LLP. 611 ANTON BLVD SUITE 1400 COSTA MESA. CA 92626 BOUCHELLE, LAURA A

ART UNIT PAPER NUMBER

ART UNIT

DATE MAILED: 05/28/2009

_								
APPLICATION NO. FILING DATE		FILING DATE	FIRST NAMED INVENTOR ATTORNEY DOC		CONFIRMATION NO.			
10/803,279 03/18/2004		03/18/2004	M. David Butts	1016720023P	5654			
Т	TITLE OF INVENTION: CATHETER CONNECTOR							

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	08/28/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notifical	form should be used for correspondence includired below or directed off tions.	or tran	smitting the ISSU Patent, advance of in Block 1, by (a	JE FEE and PUBLICAT rders and notification of a) specifying a new corre	TON FEE (if requestion reaction for the contract of the contra	ired). l vill be and/o	Blocks 1 through 5 st mailed to the current r (b) indicating a sepa	hould be completed who correspondence address trate "FEE ADDRESS"
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address)					Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
34284 7590 0.528/2009 Rutan & Tucker, LLP, 611 ANTON BLVD SUTTE 1400				I be	Thereby certify that this Fee(s) Transmitsal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE_FEE address above, or being facts transmitted to the USPTO G711273-2888, on the date indicated below.			
COSTA MESA,	CA 92626							(Depositor's nam
								(Signatur
								(Dat
APPLICATION NO.	FILING DATE			FIRST NAMED INVENTOR		ATTC	RNEY DOCKET NO.	CONFIRMATION NO.
10/803,279	03/18/2004			M. David Butts			1016720023P	5654
TITLE OF INVENTION	: CATHETER CONNEC	CTOR						
APPLN. TYPE	SMALL ENTITY	IS	SUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO		\$1510	\$300	\$0 \$1810		08/28/2009	
EXAM	INER		ART UNIT	CLASS-SUBCLASS	]			
BOUCHELLI	E, LAURA A		3763	604-523000				
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTOSB/122) attached.  The Address from Latched.  The Address indication for "Fee Address" Indication form PTOSB/127 attached.  With the Company of the Address and Company of the Address			Correspondence ation form e of a Customer	2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively.  (2) the name of a single firm fluxing as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is  listed, no name will be printed.				
PLEASE NOTE: Uni recordation as set forti (A) NAME OF ASSIG	ess an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified booletion	elow, no assignee of this form is NO	THE PATENT (print or ty data will appear on the p IT a substitute for filing an (B) RESIDENCE: (CIT)	patent. If an assign assignment. Y and STATE OR C	OUN"	TRY)	ocument has been filed if
Please check the appropri	tate assignee category or	catego						
4a The following fee(s) are submitted:  I ssue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies				b. Payment of Fee(s): (Ple A check is enclosed. Payment by credit ca The Director is hereb overpayment, to Dep	rd. Form PTO-2038 v authorized to cha	is att	ached. required fee(s), any de	
	s SMALL ENTITY state	ıs. See	37 CFR 1.27.	b. Applicant is no lor				
interest as shown by the	ecords of the United Sta	tes Pat	ent and Trademark	d from anyone other than Office.	ine applicant; a regi	stered	attorney or agent; or tr	e assignee or other party
Authorized Signature					Date			
Typed or printed name					Registration N			
This collection of inform an application. Confident submitting the completes this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223	ation is required by 37 C tiality is governed by 35 I application form to the ons for reducing this but irginia 22313-1450. DC 13-1450.	FR 1.3 U.S.C. USPT rden, sl D NOT	11. The informatic . 122 and 37 CFR O. Time will vary hould be sent to th SEND FEES OR	on is required to obtain or 1.14. This collection is es depending upon the indi e Chief Information Offic COMPLETED FORMS T	retain a benefit by t timated to take 12 i vidual case. Any co er, U.S. Patent and O THIS ADDRESS	he pub minute ommen Trader S. SEN	lic which is to file (and s to complete, includin ts on the amount of tir mark Office, U.S. Dep D TO: Commissioner	by the USPTO to procest g gathering, preparing, a ne you require to comple artment of Commerce, P. for Patents, P.O. Box 145

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UNITED STATES PATENT AND TRADEMARK OFFICE

#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/803,279	03/18/2004	M. David Butts	1016720023P 5654		
34284	34284 7590 05/28/2009		EXAMINER		
Rutan & Tucke	r, LLP,	BOUCHELLE, LAURA A			
611 ANTON BI	VD	ART UNIT	PAPER NUMBER		
SUITE 1400 COSTA MESA,	CA 92626	3763 DATE MAII ED: 05/28/200	9		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 288 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 288 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)	
10/803,279	BUTTS ET AL.	
Examiner	Art Unit	
ALIRA A BOLICHELLE	3763	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included
herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS
NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative
of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1. 

  ☐ This communication is responsive to Interview with Todd Wight on 4/2/09.
- The allowed claim(s) is/are 2,3,5-8 and 10-25.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
    - 1. \( \subseteq \text{ Certified copies of the priority documents have been received.} \)
    - 2. Certified copies of the priority documents have been received in Application No.
    - Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) Thereto or 2) to Paper No./Mail Date
  - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

 DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

### Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3. Information Disclosure Statements (PTO/SB/08),
- Paper No./Mail Date\_\_\_\_
- Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date .
- 7. X Examiner's Amendment/Comment
- 8. T Examiner's Statement of Reasons for Allowance
- □ Other .

### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or
additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR
 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the
payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Todd Wight on 5/6/09.

The application has been amended as follows:

[Claim] 4. Cancelled.

[Claim 14, line 9]: a securement device attached to the distal end of the body;

comprising mating portions configured to secure said catheter to said body by locking together directly around said catheter following insertion of the cannula into the catheter; and, the securement device having a first position wherein the mating portions are not locked around the catheter, and a second position wherein the mating portions are locked around the catheter, the securement device is attached to the distal end of the body in both the first and second positions; and

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LAURA A. BOUCHELLE whose telephone number is (571)272-2125. The examiner can normally be reached on Monday-Friday 8-4.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nicholas Lucchesi can be reached on 517-272-4977. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nicholas D Lucchesi/ Supervisory Patent Examiner, Art Unit 3763 Laura A Bouchelle Examiner Art Unit 3763

/Laura A Bouchelle/ Examiner, Art Unit 3763